

100 5-1-002
 'AP9 Rec'd PCT/PTO 05 JUN 2006'

PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	TBA (Based on PCT/EP2004/013814)
				Filing Date	Concurrently Herewith
				First Named Inventor	Rainer Papp et al.
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	12810-00263-US1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/S.W.	AA*	US-2002/0159930	10/2002	Zehner et al.	
	AB*	US-3,499,933	03/1970	Pruett et al.	
	AC*	US-3,716,626	02/1973	Kniese et al.	
	AD*	US-4,386,018	05/1983	Merger et al.	
	AE*	US-4,400,547	08/1983	Dawes et al.	
	AF*	US-4,517,391	05/1985	Schuster, Ludwig et al.	
	AG*	US-4,709,105	11/1987	Grenacher et al.	
	AH*	US-4,778,929	10/1988	Zehner et al.	
	AI*	US-5,037,793	08/1991	Toussaint et al.	
	AJ*	US-5,041,675	08/1991	Lukas et al.	
	AK*	US-5,107,018	04/1992	Schuster	
	AL*	US-5,208,194	05/1993	Pitchai et al.	
	AM*	US-5,728,893	03/1998	Becker et al.	
	AN*	US-6,310,261	10/2001	Geissler et al.	
/S.W.	AO*	US-6,765,119	07/2004	Hoffmann et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
/S.W.	BA	EP-0100406	05-24-1983	BASF Aktiengesellschaft	
	BB	EP-0394842	04-19-1990	BASF Aktiengesellschaft	
	BC	EA-0415202	08-17-1990	BASF Aktiengesellschaft	
	BD	EA-0224872	11-27-1986	BASF Aktiengesellschaft	
	BE	EP-0044444	06-29-1981	BASF Aktiengesellschaft	
	BF	EP-0285420	03-30-1988	The British Petroleum Company plc	
	BG	EP-0147219	12-21-1984	E.I. Du Pont De Nemours & Co.	
	BH	EP-1231198	02-12-2002	BASF Aktiengesellschaft	
	BI	EP-0423769	10-17-1990	Mitsubishi Kasei Corporation	
	BJ	EP-0348832	06-23-1989	Hoechst Aktiengesellschaft	
	BK	EP-0026983	09-04-1980	Henkel Corporation	✓
	BL	EP-1065194	06-29-2000	Mitsubishi Gas Chemical Company, Inc.	✓
	BM	WO-93/02024	02-04-1993	Eastman Kodak Company	✓
	BN	WO-00/09467	02-24-2000	BASF Aktiengesellschaft	✓
	BO	WO-99/36382	07-22-1999	BASF Aktiengesellschaft	✓
	BP	WO-02/20451	03-14-2002	Imperial Chemical Industries PLC	✓
	BQ	WO-01/87809	11-22-2001	BASF Aktiengesellschaft	✓
	BR	GB-1,170,226	11-12-1969	Falbe	✓
	BS	GB-1,551,741	08-30-1979	Hoechst Aktiengesellschaft	✓
	BT	GB-1,450,700	09-22-1976	BASF Aktiengesellschaft	✓
	BU	GB-1,579,159	11-12-1980	BASF Aktiengesellschaft	✓
	BV	DE-1,618,384	09-16-1971	Falbe	
	BW	DE-1,954,315	05-06-1971	Kniese et al.	
/S.W.	BX	DE-25,19,817	11-11-1976	Wunder et al.	

Examiner Signature	/Sikar Witherspoon/	Date Considered	05/16/2007
-----------------------	---------------------	--------------------	------------

458270

10/581802
'AP9 Rec'd PCT/PTO 05 JUN 2006

PTO/SB/08a/b (07-05)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	TBA (Based on PCT/EP2004/013814)
				Filing Date	Concurrently Herewith
				First Named Inventor	Rainer Papp et al.
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	12810-00263-US1
Sheet	2	of	2		

/S.W./BY	DE-39,32,332	04-11-1991	Hoechst AG		
↓	BZ	DE-39,04,083	08-16-1990	BASF AG	
	BA1	DE-2,321,101	11-14-1974	BASF AG	
↓	BB1	DE-23,66,264	04-30-1980	BASF AG	
	BC1	DE-26,28,987	01-12-1978	BASF AG	
/S.W./BD1	CA-2,025,829	09-28-1989	Horn et al.		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
/S.W./	CA	Cornils et al., "Derivate des Dicyclopentadiens-aktuelle Schlüsselverbindungen", Chemiker-Zeitung, Vol. 98, No. 2, Jahrgang, 1974, pgs. 70-76		
↓	CB	Pruett, "Industrial Organic Chemicals Through Utilization of Synthesis Gas", The New York Academy of Sciences, Vol. 295, 1977, pgs. 238-242		
	CC	Pruett, "Advances in Organometallic Chemistry", Academic Press, Vol. 17, 1979, pgs. 32-33 and 58-59		
	CD	Falbe, "New Syntheses with Carbon Monoxide", Springer-Verlag, 1980, pgs. 38-45		
↓	CE	Falbe et al., "Synthesen mit Kohlenmonoxyd XI" ¹¹ Zur Hydroformylierung des Bicycloheptenaldehyds und des Dicyclopentadiens", Brennstoff-Chemie, No. 6, Vol. 48, 1967, pgs. 182-184		
	CF	Houben-Weyl: Methoden der organischen Chemie, Vol. IV, 1c 1980, pgs. 16-26		
/S.W./	CG	International Search Report No. PCT/EP2004/013814, dated April 8, 2005, 3 pgs.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	/Sikar Witherspoon/	Date Considered	05/16/2007
--------------------	---------------------	-----------------	------------

488270